



PATENT
Attorney Docket No. 1215

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
ANDREW et al.

Group Art Unit: 2132

Serial No. 09/870,411

Examiner: Not Assigned

Filed: May 29, 2001

For: Encrypting File System and Method

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Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted herewith for consideration by the Examiner in the examination of the above-identified patent application. The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so. Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved. Note that copies of the references are not being submitted. All references were previously cited in the parent application Serial No. 08/931,774, filed September 16, 1997, now U.S. Patent No. 6,249,866.

The Information Disclosure Statement is believed to be filed before the mailing date of a first Office action on the merits. Accordingly, no fee is owed by applicant. If, however, an Office action has been issued, the Patent Office is hereby authorized to charge any underpayments associated with this submission to Deposit Account 50-1618.

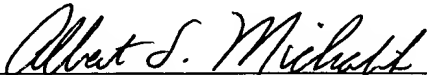
Albert S. Michalik, Reg. No. 37,395
Attorney for Applicants
Law Offices of Albert S. Michalik, PLLC
704 - 228th Avenue N.E., Ste. 193
Sammamish, Washington 98074
(425) 836-3030 (telephone)
(425) 836-8957 (facsimile)

In re Application of ANDREW et al.
Serial No. 09/870,411

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT and PTO form 1449 are being deposited with the United States Postal Service on the date shown below, with sufficient postage as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: February 6, 2003



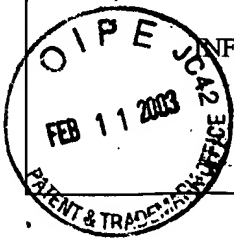
Albert S. Michalik

1215 IDS Statement

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
1215SERIAL NO.
09/870,411INFORMATION DISCLOSURE STATEMENT
BY APPLICANTAPPLICANT
ANDREW et al.

(Use several sheets if necessary)

FILING DATE
May 21, 2001GROUP
2132

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,386,234		Ehrsam et al.			5/31/83
	AB	5,224,166		Hartmann, Jr.			6/29/93
	AC	5,319,705		Halter			6/07/94
	AD	5,598,470		Cooper et al.			1/28/97
	AE	5,870,468		Harrison			2/09/99
	AF	5,870,477		Sasaki			2/09/99

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO*
	AG	0 681 233 A1	11/08/95	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AH	Anonymous, Copy of International Search Report in Corresponding PCT Application No. PCT/US98/19049.
AI	Anonymous, Copy of Written Opinion in Corresponding PCT Application No. PCT/US98/19049.
AJ	Denning, Dorothy E.; Branstad, Dennis K. "A Taxonomy for Key Escrow Encryption Systems" <i>Communications of the ACM</i> Volume 39, No. 3: 34-39. March 1996.
AK	Blaze, Matt. "Cryptographic File System for Unix" <i>First ACM Conference</i> November 3-5, 1993.
AL	Rivest, R. L.; Adleman, L. "A Method for Obtaining Digital Signatures and Public Key Cryptosystems" <i>Communications of the ACM</i> Volume 21, NO. 2: 120-126. February 1978.
AM	Cattaneo, G.; Persiano, G. Design and Implementation of a Transparent Cryptographic File System for Unix" <i>Dep. Informatica ed Appl., Universita di Salerno, Baronissi (SA) -Italy.</i>
AN	Blaze, Matt. "Key element in an Encrypting File System" <i>1994 Summer USENIX</i> 27-35. Boston, MA June 6-10, 1994.
AO	Eastlake, et al. "RFC 1750: Randomness Recommendations for Security" <i>GlobeCom Network</i> 1-28 December 1994.
AP	Editors: Foot, G. H.; Sewell, R. F. "The Public Key Cryptography" Volume 1, No. 1. January 1991.
AQ	Editors: Foot, G. H.; Sewell, R. F. "The Public Key" Volume 1, No. 2. January 1991.